



01-26-04

HDP/SB/21 based on PTO/SB/21 (08-00)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | |
|------------------------|-----------------------|
| Application Number | 09/510,629 |
| Filing Date | February 22, 2000 |
| First Named Inventor | Hartmann, William M. |
| Group Art Unit | 2123 |
| Examiner Name | Garcia Otero, Eduardo |
| Attorney Docket Number | 6550-000043 |

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☒ Amendment / Response
 - ☒ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
 - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
postcard

Remarks

The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | | | |
|-------------------------|----------------------------------|---------------|------------------|----------|--------|
| Firm or Individual name | Harness, Dickey & Pierce, P.L.C. | Attorney Name | Monte L. Falcoff | Reg. No. | 37,617 |
| Signature | | | | | |
| Date | January 23, 2004 | | | | |

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| Signature | | Date | January 23, 2004-- |

EV 406 075 855 US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#9/B (NE)
PATENT
2-3-04
Hill

Application No.: 09/510,629
Filing Date: February 22, 2000
Applicant: Hartmann, William M.
Group Art Unit: 2123
Examiner: Garcia Otero, Eduardo
Title: Process for High Fidelity Sound Recording and
Reproduction of Musical Sound
Attorney Docket: 6550-000043

Director of the United States Patent and Trademark Office
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AMENDMENT

Sir:

In response to the Office Action mailed October 27, 2003, please amend the application as follows and consider the remarks set forth below.

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 14 of this paper.